



Atty. Docket No.: 30391-14

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Group Art Unit:	2186
	)		
Takeo YOSHII et al.	)	Examiner:	To be assigned
	)		
Serial No.: 10/663,078	)	Confirmation No.:	2437
	)		
Filed: September 15, 2003	)		
	)		
For: STORAGE DEVICE, STORAGE	)		
DEVICE CONTROLLING	)		
METHOD, AND PROGRAM	)		

San Diego, California  
January 12, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, Applicants make the below-listed documents of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, and anything being referred to as enclosed herein, is being deposited with the United States Postal Service via first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date: January 12, 2004

Signature: \_\_\_\_\_

*Gregory P. Austin*  
Gregory P. Austin

Pursuant to MPEP § 609A(3), Applicants enclose an Action of the Japanese Patent Office in a counterpart application and an English language translation of the Action. The following patent documents are discussed in the Action:

1. JP H6-139140 A, applicant Intel Corporation, published May 20, 1994 ( with English language abstract) and
2. JP H10-83309 A, applicant Sony Corporation, published March 31, 1998 (with English language abstract).

\* \* \*

Copies of the above-listed documents, which are listed on the accompanying Form PTO/SB/08 (submitted in duplicate), are enclosed.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08, upon being considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

This Supplemental Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, pursuant to 37 C.F.R. 1.97(b). Therefore, it is believed that no fee is required in connection with this submission. If a fee is required, please charge Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

Date

1/12/03

  
\_\_\_\_\_  
Mitchell P. Brook

Attorney for Applicant(s)

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PTO/SB/08A (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/663,078
Filing Date	September 15, 2003
First Named Inventor	Takeo YOSHII et al.
Art Unit	2186
Examiner Name	To be assigned
Attorney Docket Number	30391-14

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		JP H6-139140 A	05-20-1994	Intel Corporation		*
		JP H10-83309 A	03-31-1998	Sony Corporation		*

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

English language abstract enclosed.